### BEST LBNL 1/6/2016 The High Performance, Net Zero, Green Building.... Vision: Progress and Challenges





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# **Presentation Outline**

- **1. Framing the Energy/Carbon Challenge**
- 2. Framing the Building Performance Challenge
- 3. Lighting
- 4. Windows
- 5. The Case for Integrated Systems
- 6. What Now?

### ~1960 – Early R&D on Low Carbon Energy Supply



### Motivation for Action? Carbon and Climate Change





#### **Greenland Glaciers**



#### **Antarctic Ice Shelf**

#### 2015 -> 2100 ?

## Health Impacts of Energy Use – "Red Alert" Beijing



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### **Defining the Energy/Climate Change Problem:**



### Why Focus on Buildings?? Total Building Energy Use; End Use Consumption

Building sector has: Largest Energy Use! Fastest growth rate! Buildings consume 40% of total U.S. energy • 71% of electricity • 54% of natural gas No Single End Use Dominates



### U.S. Energy Use: History and Aggressive Future Goals



#### Saving Carbon vs. Energy Sectors Production, Distribution, Use



Source: McKinsey Global Institute, 2007 Replotted: John Zysman, UCB

### Low Hanging Fruit: Energy Efficiency Pays for Itself

# 50-80% Reduction in Carbon? Solution is Simple in Concept

- 1. Optimize "Lifestyle" to Minimize Energy Services and Needs
  - Buildings...
  - Make cities walkable, food,...
- 2. Maximize Efficient Use of Energy
  - LED light bulbs,.....
- 3. Decarbonize energy sources
  - Solar energy,.....

But more difficult to plan, execute and scale

### **U.S. Refrigerator Energy Use vs. Time**

United States Refrigerator Use v. Time



## **U.S. Building Energy Projections Declining**



## Addressing the Building "Grand Challenge"

- Focus on Life Cycle of the Building
  - Design  $\rightarrow$  Construction  $\rightarrow$  Operations  $\rightarrow$  Renovation  $\rightarrow$  Decommissioning
- Focus on Measurable, Documented Energy Impacts
  - Make performance visible, understandable, actionable
- Focus on Integrated Smart Building Systems
  - Materials  $\rightarrow$  Devices  $\rightarrow$  Integrated Systems  $\rightarrow$  Buildings
- Focus on Buildings and the Grid
  - Renewables, Storage, Microgrids, Neighborhoods, "Smart Grid"
- Focus on People and Behavior
  - Policy makers, Designers, Investors, Contractors, Occupants,..
  - Occupant behavior, life style, satisfaction, comfort,....
- Focus on "Intersection" of Technology and Policy
  - Incremental + Innovative, Disruptive technologies
  - Investment and Decision making



### Significant Impact Comes Only from Comprehensive Balanced Program

To routinely deliver high performance, low-energy buildings we must find a balance between:





"In theory, there is no difference between theory and practice. But in practice, there is." –Yogi Berra

Addressing Global Energy Challenges Requires Translating Theory and Potentials into Robust, Practical, Scalable Solutions



### "Do It Now" vs "Wait and Do It Better Tomorrow" Why Not Do Both!

#### • Increase Rate of Adoption of Existing/Emerging Technologies

- Operational improvements
- Better Design and Selection Guidance
- New Market channels
- New Voluntary and Mandatory Programs
- Education: best use for a particular application (climate, etc.)

#### • Create Pipeline of New Technology Options and Business Models:

- Incremental improvements to technology available today
  - Performance enhancements but Cost reductions
  - New features
- Breakthrough R&D
  - Innovation- new products, new applications
- − Components → Integrated Systems
- "Net Zero Buildings" Efficiency + Energy Generation





# Data, Models and Tools "All Simulation Models are Wrong, But Some are Useful"

How do we ensure our tools/data are useful?



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### The Challenge: Design Goals vs Measured Performance



#### Observations:

- 1. Various building types, ages, locations
- 2. Average over all projects is not bad
- 3. Max over-predict by **120%**
- 4. Max under-predict by 65%
- 5. Almost all under-predicted for low energy designs (red triangle: EUI <= 40)</p>
- 6. Uncalibrated simulated results

Source: Energy performance of LEED-NC buildings, NBI, 2008

## **New R&D: Tools and Data**

#### EnergyPlus engine development:

- New features to model low energy designs
- Speed-up, Technical support and maintenance
- EnergyPlus Graphical User Interface
- EnergyPlus derivatives: special purpose tools
  - e.g. COMFEN- façade early design tool



#### Building Controls Virtual Test Bed – co-simulation, real controls

#### New Simulation/Data apps:

- Design assistance
- Real-time performance assessment
- Operation/Behavior modeling
- Fault Detection and Diagnostics

- Retro-commissioning
- Codes and standards development
- Interoperability
- Benchmarking
- Ratings, Labels



## Quantifying and Exposing Performance: Disclosure Legislation

U.S. Building Benchmarking and Disclosure Policies



Updated 8/1/2014

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TRANSFORMATION

Building Ratin

### **Meters-> "Big Data" Comes to the Buildings World: Energy Analytics for Buildings**

DOE/EERE Building Energy Data Initiatives

Actionable information to support investors, owners, operators, designers.



#### **EnergyIQ Benchmarking Tool**

- Seminal work on building commissioning costbenefit analysis of >600 buildings
- Energy Information & Benchmarking Systems for • commercial, residential

#### Advanced statistical methods

to analyze emerging "big data" from data-rich buildings



#### **Net Zero Energy Buildings =** Energy Efficiency first reduces Use by 60-90%; Renewable, carbon-neutral source for remainder



### Zero Net Energy Buildings Status: 2014

### ~ 147 buildings in 37 states!



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### **Scale and Impact:** We Need Both to Achieve Sector-wide Efficiency Goals



## NZEB: Net (Nearly) Zero Energy Buildings



- "Net Zero Energy Buildings" is the right goal
- NZEB = 60-80% savings + renewables
  - Just Do It
    - Set a goal march toward it
    - Its easy, if we commit and apply ourselves
    - We have the technology and know-how



- Major National Challenge
  - Technically attainable Difficult to achieve in scale
  - Shortcomings: Owners? Users? Tools? Construction? Operations?
  - Integrated Standards -Deployment-Demonstration-Research
  - Issues- Policy, Finance, Design Process, Technology
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### **California – Test Case**

**Big Bold** Energy Efficiency Strategies



(1) All new residential construction in California will be zero net energy by 2020

(2) All new commercial construction in California will be zero net energy by 2030



(3) Heating, Ventilation, and Air Conditioning (HVAC) industry will be transformed to ensure that its energy performance is optimal for California's climate



(4) All eligible low-income customers will be given the opportunity to participate in the low energy efficiency program by 2020



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### California Path to Net Zero by 2030



2-6: Existing Building Finance Tools 2-8: Plug Loads

2-7: Integrated Energy Management



### Zero Net Energy Buildings in California: Commercial Buildings

60 ZNE commercial buildings since 2007\*



DPR Construction San Diego Corporate Office , Chip Fox

- Building Size
- Building Type
- Design Team Skill
- COST



SMUD East Campus Operations Center, Doug Norwood

• Analysis performed by New Buildings Institute. Includes ZNE Ready and Near ZNE buildings. Not all verified.



### **Current Dialogue re: CA ZNE Building Goals**

- Are the current ZNE goals the right goals?
- If not, how should they be changed?
  - Building type, timing,....
- What should be the various role of the state agencies and teams to address / advance ZNE Comm goals?
  - In utility programs? (~\$1B/yr)
  - In CPUC Updated Strategic Plan?
- Role of Mandatory Standards?
- Role of Utility Incentive and Rebate programs?
- Role of Training and Education: Designers, Contractors,...?
- Role of Innovation and R&D...
  - Efficiency but also Risk, Cost

### **Building Innovation "Game Changers"**

#### MATERIALS AND SYSTEMS

- Smart Glass/Dynamic solar control
- High R Windows, Insulation
- Thermal Storage- Envelope, structural
- >200 lumen/watt lighting
- Daylight integration
- Dimmable, Addressable Lighting Controls
- Task Conditioning HVAC
- Climate Integrated HVAC
- HVAC vs comfort and IEQ
- Miscellaneous Electrical Loads
- Demand Response
- Controls infrastructure- sensors, networks
- Building- and Grid- Smart electronics
- Electrical Storage

#### SYSTEMS: IT, LIFE-CYCLE OPERATIONS

- Building Life Cycle Perspective
- Benchmarks and Metrics
- Building Information Models (BIM)
- Integrated Design Process and Tools
- Building Operating Controls/Platform
- Building Performance Dashboards
- Understanding Occupants/Behavior
- Facility Operations







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### 1/3 of the Planet<sup>35</sup> Lives Off The Grid

Small Changes=> Big Impacts on Lives

Adequate light is a life changing innovation

Luminet.org

# **Lighting Challenge**

#### Goals:

- Comfortable, productive, and healthy environments for living and working
- Economical, Affordable
- Save energy, carbon
- Manage Electric demand, load shape

### Functionality:

• Deliver right amount of light, right quality of light, to the right place, at the right time.


# **Lighting Challenges**

## • Lighting and People

- Performance
- Health
- Comfort

## Lighting and Buildings

- Light Sources/Fixtures
- Lighting controls
- Daylight
- Systems Integration

## Lighting and the Electric Grid

- Load shape, Peak demand
- Responsive loads

#### • IMPLEMENTING ACTION:

- Change people, their "needs" and their "actions"
- Change technology

# ~ 100+ years: Generation of Light (Efficacy: lumens/watt)

- Sunlight, Daylight
- Open flame: candle, whale oil, kerosene, gas < 1 l/w
- Filament lamp: incandescent 5-15 l/w
- Gas Discharge: Mercury, Fluorescent, HID,
  - Sulfur, Plasma 30 140 l/w
- Solid State Electronic: LED, OLED 60 200 l/w
- Filtered Sunlight/Daylight

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80-150 l/w

120 - 200 l/w

# **Two Lighting Technology Pathways**

## Electric Light Sources - Solid State Lighting Technology

- Light Emitting Diodes, LED (point sources)
- Organic Light Emitting Diodes, OLED (planar sources)
- Features:
  - Scalable Lumen packages
  - Directional control
  - Dimming control
  - Color control

## Electric Lighting Control: Any source; control for: – On-off

- Schedule
- Occupancy

## – Dimming

- Lumen maintenance
- Tuning Light level
- Daylight responsive
- Demand response

# Two Lighting/Building "Systems" Pathways

- Integrated Building Systems Envelope and Lighting/Daylight
  - "Design for daylight" façade design: glass, shading,...
    - Energy
    - View
    - Spectrum
  - Lighting vs Cooling; View vs Glare
- "Internet of Things" / "Internet of Everything"
  - bringing reliable, low cost optimization to system performance
  - Low cost, distributed, ubiquitous devices
  - Sensors, Actuators
  - Communications (wireless)
  - Intelligence

# LED Chip Evolution Chip → Lighting System

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## LED Costs are Dropping Rapidly (faster than expected just a few years ago)



#### FIGURE 2.6 A19 REPLACEMENT LAMP PRICE PROJECTION (60W EQUIVALENT)

LaV Note: The shaded region illustrates the price range for a typical equivalent performance CFL (13W self-ballaste CFL, non-dimmable at bottom, and dimmable at top).

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# Innovation Driven by Massive R&D Investment Estimated Worldwide LED/SSL R&D Spending, 2012

LE 3.10 ESTIMATED WORLDWIDE LED-BASED SSL R&D SPENDING IN 2012 [32] [33]

Country	Total R&D Spend (\$ million)	Government R&D Spend (\$ million)	Government SSL R&D Spend (\$ million)
USA	436,000	125,700	21
Europe	338,100	118,000	40
China	198,900	50,000	1,000
Taiwan	22,300	6,700	250
South Korea	56,400	15,000	N/A
Japan	157,600	25,000	N/A
		US	DOF SSL MYPP

# **R&D on Inorganic LED: Pubs and Patents** Massive investment in R&D Pays Off



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## LED Diffusion by Market Niche Early markets are high value, niche applications 45 Building applications, more price sensitive, will follow



Fig. 1. Diffusion of LEDs by Market Niche, 2010 (estimates from Strategies Unlimited). Sanderson et a 2014

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# LED Light Sales by Sector, Projected



## Building Stock Retrofit Challenges: Moral: Buildings Change Slowly, Aggressive Control of Legacy T-8 Systems will Provide Large Savings

#### **Commercial Building** Installed Socket Base (2010 - 2030 Forecast) 3,000 2,500 LED Millions of 2,000 720 T-8 Installed Sockets T-12 1,500 T-12 547 T-5 1,000 35% LED T-8 Increase in **T-8** 1,484 T-8 lamps 500 1,078

0 Year 2010 Year 2030

#### Sources:

Lamp projections calculated from DOE Solid-State Lighting Research Multi-Year Program Plan 2013, Figure 2.4.
 Forecasted increase in commercial sector size 2010 – 2030 is extrapolated from 2003 – 2012 growth rate (1.5% annually)

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# Impact of Lighting Controls on Energy Use in48 Linear Fixture Market



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The Most Efficient Electric Lighting Source is the One that You Don't Need Because the Space is Fully Lit with Daylight

## (Day)Lighting: 3 challenges 1. A Daylighted Building Doesn't Save Energy if the Lights are On 2. Why Do We Only Daylight Outer 3-4 M of space 3. Glare vs Light- Occupant Control of Shades, blinds



# **Good Lighting Controls (Daylight Dimming) Work**



Data from advanced lighting controls demonstration in Emeryville, CA (1990)

Energy Use before retrofit:

After retrofit: South zone: North zone:

# But Dimming is only 3% of lighting sales!

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# Using Sunlight Effectively? Electric conversion vs Direct Use

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# **Non- Energy Benefits of Daylight**

- View
- Connection with outdoors
- Biophilia connection with nature
- Color/Spectrum/Variability
  = Health, Well-being, Productivity,....(??)
- These are real effects, but:
- Very difficult to attribute a measurable impact to a design variable

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# Human Performance and Lighting: Important – but Complex



## Annual Energy Costs in Perspective: Occupancy Costs >>> Energy Cost

Cost / Sq. M. Floor -Year

- Energy Cost: \$50.00
- Rent/Lease:
- "Productivity" \$5000.00+

\$500.00 \$5000.00+



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## Glazing, Windows and Facades: Two Contrasting Views of Energy Efficiency

#### 1976 Perspective: Code Official's View of Ideal Window



#### 2014 Perspective: Architect's View of Ideal Window



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# An "Intelligent" Façade might.....

- Manage thermal loss and gain
- Provide dynamic solar control:
- Provide glare-free daylight
- Provide fresh air to interior, minimize noise
- Enhance occupant health, comfort
- Reduce demand on utility
- Generate power (photovoltaics)



**rrrr** 

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# **Optimizing Energy in Integrated Facades**

#### **Key parameters**

- Climate
- Orientation
- Building Type
- Fenestration area
- Glass type
- Operations
- Daylight
- Shading

. . . . . .

#### **Balance Performance issues**

- Energy
- Demand
- Carbon
- Peak Cooling
- Comfort: visual/thermal
- View
- Appearance



 Ideal: Integrated approach to façade-lighting-HVAC building systems to achieve optimum energyefficiency and comfort.

#### ... Its Complicated!!



# **Zero-Energy Window Objectives**

Nearer Term Objective: U-value < 0.8 W/m<sup>2</sup>-K Long Term Target: U-value < 0.5 W/m<sup>2</sup>-K

### **Approaches:**

- Low-Emissivity Coatings
- Low Conductance Gas Fills
- "Warm edge" low conductance spacers
- Insulated Frame Systems



# **Technologies to Reduce Heat Loss**



# GLAZING SOLUTIONS: U ~ .1BTU/h-ft<sup>2</sup>-F



Super-insulating frame with highly insulated glazing atory

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#### Conservation Research Note

from the Center for Building Science / Lawrence Berkeley Laboratory

May 1988

## Window Coatings as Oil Wells

New Energy Supply Technology for the 21st Century

#### High Tech Window Coatings "Supply" Energy Services

Buildings account for over one third of all U.S. energy consumption. Energy policy has emphasized the development of new secure energy supply options such as off-shore oil. But advanced building technology that effectively reduces the need for current consumption can also be viewed as a supply option.

Consider the following two choices for "supplying" \$1 billion of energy services:

#### Low-E Window Technology

Heat loss from windows is responsible for about 4% of total U.S. energy consumption, or the equivalent of 1.4 million barrels of oil per day. Transparent low emissivity (low-E) coatings provide one third reductions in window heat loss.

This industrial low-E coater (See Recipe 1) can coat over 20 million square feet of glass for windows each year. Savings accumulate rapidly since each window continues to save energy over its entire lifetime, at least 20 years.

#### Offshore Oil Wells

Oil under the continental shelf is a secure, but environmentally fragile, costly and depletable supply option. (See Recipe 2).

Conservation Research Note	May 1988 Page 2	
<section-header></section-header>	year stem. •life	Conservation Research Note       Recipe #2      Offshore Oil Wells      Step 1: Invest \$300 million in a 10 well offshore oil platform, producing 10,000 barrels per day.      Step 2: Pump oil for the 10 year nominal life of the oil field (don't spill a drop).      Step 3: RESULT: Supply of 36 million barrels of oil!

Glass coaters such as this high-rate sputtering system can coat large sheets of glass with sophisticated multilayer coatings for control of heat and light in buildings.

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Photo courtesy of Airco Solar Products, Concord, CA.

Figure 2

May 1988

Page 3

An oil company's 10,000 barrel/day, 700 foot-high, \$ 300-million platform off the Santa Barbara, California coast.

## Smart Coatings for Dynamic Control of Windows Balancing Cooling and Daylighting, View and Glare

- Flexible, optimized control of solar gain and daylight
- Passive control
  - Photochromic light sensitive
  - Thermochromic heat sensitive
- Active control
  - Liquid Crystal
  - Suspended particle display (SPD)
  - Electrochromic
- Active control preferred; but requires wiring windows for power and control
- + Automated blinds, shades, etc...



"OFF"

Electrochromic "Smart" Windows: Progress Towards the Marketplace Technology, Design, Integration Challenges









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## Exploring Performance of Integrated Shading/Lighting Control Systems in LBNL Facade Testbed Facility



External Dynamic Shading

# **Daylight Redirecting Glass**



#### **Electrochromic Glass**



#### Automated Shading Controls Glare Throughout the Day Time Lapse from Tests in LBNL Façade Test Facility: Interior Daylight Luminance Patterns with Dynamic Shading











# Getting Integrated Systems Solution That Works at Scale NY Times: Intelligent Lighting, Shade Control, UFAD

Design: 2003; Field Energy Measurement 2013

Automated Shaded



Occupied 2007

- Dimmable lighting
  - -Addressable

-Tunable



New York Times office with dimmable lights and automated shading

# NY Times Testbed: Optimize: Physical & Virtual

#### Phase 1: Physical Testbed, 18 month field study

- Evaluate Shading, daylighting, employee feedback and constructability in a ~5000 sf testbed
- Fully instrumented; 1 year testing

#### Phase 2: Virtual Model, extend measured data

- Extend Test Data: more Orientations and Floor Levels
- Shade Control Algorithms for Motorized Shades Developed using Simulation
- Built a virtual model of the building in its urban context using hourly weather data to simulate performance











Simulated Views from 3 of 22 view positions



## **New York Times Building Energy Monitoring and Post Occupancy Evaluation**

**Lighting Control Systems:** 

**On/off:** Scheduling, Occupancy **Dimming:** Setpoint Tuning, Daylight, Demand Response

56% savings vs previous slide



# Gadgets and Widgets → Integrated System Design = Bigger Savings at Lower Cost

#### **Current Design and Research Paradigm – Silo Approach**



#### Integrated Building Systems Approach



# System integration $\rightarrow$ Cost/Risk tradeoffs People $\leftarrow \rightarrow$ Buildings $\leftarrow \rightarrow$ "Smart Grid"



# **Exploring Intelligent Building Control Systems:**

The "Internet of Things" Collides with the Building Industry...


#### "DC Microgrid": Electrochromic windows, dimmable LED lighting, 200 W PV, Electric storage







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#### The Integrated Façade/Lighting System "Challenge"

- 20 Glazing/Curtain Wall Suppliers
- 5 Smart Glass Suppliers
- 30 Shading Suppliers
- 50 Lighting Fixture/Control Suppliers
- (HVAC ignore for now)



**150,000** possible combinations, each with different protocols, connection requirements, etc



# "Internet of Things" IoT

 "The Internet of Things IoT is the interconnection of uniquely identifiable embedded computing devices within the existing internet structure. IoT offers advanced connectivity of devices, systems and services and covers a variety of protocols, domains and applications." Wikipedia



Intel

## Very, Very Low Cost Base

#### (because we are "stealing" useful technology from other high volume industrial uses)

	2012	2016
ARM <sup>®</sup> Cortex-M0 microcontroller	\$ <b>0.49</b>	<\$0.30
Wi-Fi	\$1.30	\$0.80
Bluetooth	\$0.75	\$0.35
MEMS Sensor (vibration/accelerometer)	\$1.30	\$0.95
Camera (1.8 MP CMOS image sensor)	\$1.70	\$1.10
GPS	\$1.15	\$0.65

#### Source: Gartner, ARM Estimate



# **Relative Cost and Complexity?**





VS







Sensor Driven Automated Shade

#### Autonomous Car w/ Sensors

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#### FLEXLAB Facility for Low Energy eXperiments in Buildings





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#### Reconfigurable, "Kit-of-Parts"

Interchangeable skylights

Interchangeable lighting and controls

Interchangeable façade elements: shading, glazing

Granular sensor, instrumentation and metering system Interchangeable HVAC systems: air- and waterbased

Data acquisition and controls

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#### Build Mutual Interest for Challenge and Opportunities w/ Net Zero Energy/Green Buildings

- NZE/Green Buildings: a necessary and attainable target
- Make high performance and energy efficiency a market advantage, not an extra cost or a risk
- Must Deliver Measurable Savings!
- New Technology, Smarter Design offers:
  - New Business Opportunities
  - Design freedom and flexibility
  - -Value-added benefits, e.g. better acoustics
  - New performance benefits: e.g. comfort
  - Modest/no extra first costs and large annual savings
  - Lower impact on global environment



# Defining an Innovation Pathway to the Future

We must <u>aggressively accelerate and sustain</u>....

- 1. The learning curve
- 2. The adoption curve
- 3. Creation of new partnerships, business models
- 4. Establishment of new expectations
- 5. Delivery on performance promises



Challenge the Status Quo ! Take Action !

#### "If I had asked people what they wanted, they would have said faster horses."

Henry Ford

Ask the Right Questions;

**Listen Carefully to the Answers** 

Make Data-Informed Decisions and Act with the Future in Mind

#### How Do We Move Forward?

#### "Think Big, Start Small, Act Now"





### **Benefits of High Performance, Green Buildings**

Add Value, Reduce Operating Costs Reduce Energy, Greenhouse Gas Emissions

Improve Occupant Comfort, Satisfaction and Performance







Planet

Occupant